

1 **Assessing the carbon balance of circumpolar Arctic tundra**  
2 **with remote sensing and process-based modeling approaches**

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4 Stephen Sitch<sup>1\*</sup>, A. David McGuire<sup>2</sup>, John Kimball<sup>3</sup>, Nicola Gedney<sup>4</sup>, John Gamon<sup>5</sup>, Ryan  
5 Engstrom<sup>6</sup>, Annett Wolf<sup>7</sup>, Qianlai Zhuang<sup>8</sup>, Joy Clein<sup>9</sup>, and Kyle C. McDonald<sup>10</sup>

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7 <sup>1</sup>Potsdam Institute for Climate Impact Research, Germany.

8 <sup>2</sup>U.S. Geological Survey, Alaska Cooperative Fish and Wildlife Research Unit, University of  
9 Alaska Fairbanks, Fairbanks, Alaska, USA.

10 <sup>3</sup>University of Montana, USA.

11 <sup>4</sup>Hadley Centre, Met Office (JCHMR), Wallingford, UK.

12 <sup>5</sup>California State University, Los Angeles, USA.

13 <sup>6</sup>Department of Geography, San Diego State University, USA.

14 <sup>7</sup>Abisko Scientific Research Station / Lund University, Sweden.

15 <sup>8</sup>The Ecosystems Center, Marine Biological Laboratory, Woods Hole, USA.

16 <sup>9</sup>Institute of Arctic Biology, University of Alaska Fairbanks, Fairbanks, Alaska, USA.

17 <sup>10</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA.

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**\* Correspondence:**

Stephen Sitch, Met Office (JCHMR), Maclean Building,

Crowmarsh-Gifford, Wallingford, OX10 8BB, U.K.,

Tel: +44 (0)1491 692537, Fax: +44 (0)1491 692338,

E-mail: [stephen.sitch@metoffice.gov.uk](mailto:stephen.sitch@metoffice.gov.uk)

## 1 Abstract

2 This paper reviews the current status of using remote sensing and process-based modeling  
3 approaches to assess the contemporary and future circumpolar carbon balance of Arctic tundra,  
4 including the exchange of both carbon dioxide and methane with the atmosphere. Analyses based  
5 on remote sensing approaches that use a 20-year data record of satellite data indicate that tundra is  
6 greening in the Arctic, suggesting an increase in photosynthetic activity and net primary  
7 production. Modeling studies generally simulate a small net carbon sink for the distribution of  
8 Arctic tundra, a result that is within the uncertainty range of field-based estimates of net carbon  
9 exchange. Applications of process-based approaches for scenarios of future climate change  
10 generally indicate net carbon sequestration in Arctic tundra as enhanced vegetation production  
11 exceeds simulated increases in decomposition. However methane emissions are likely to increase  
12 dramatically, in response to rising soil temperatures, over the next century. Key uncertainties in  
13 the response of Arctic ecosystems to climate change, include uncertainties in future fire regimes,  
14 and uncertainties relating to changes in the soil environment. These include the response of soil  
15 decomposition and respiration to warming, and deepening of the soil active layer, uncertainties in  
16 precipitation and potential soil drying, and distribution of wetlands. While there are numerous  
17 uncertainties in the projections of process-based models, they generally indicate that Arctic tundra  
18 will be a small sink for carbon over the next century and that methane emissions will increase  
19 considerably, which implies that exchange of greenhouse gases between the atmosphere and  
20 Arctic tundra ecosystems is likely to contribute to climate warming.

21  
22 **Keywords:** Arctic carbon cycle, carbon balance, biogeochemical cycles, carbon cycle modeling,  
23 methane modeling, high-latitude remote-sensing.

## 1 Introduction

2 The distribution of Arctic tundra is north of the northern hemisphere treeline, and covers  
3 approximately 8 % of the global land surface (McGuire et al. 1997). The exact location of its  
4 southern border is subjective, with the transition between closed forest and tundra up to several  
5 hundred kilometers wide in regions of low topographic relief (Vlassova 2002, Callaghan et al.  
6 2004a) [Figure 1].

7

8 **Insert Figure 1.** Map of the Arctic and its vegetation types.

9

10 Climate in the Arctic is harsh, characterized by cold winters and cool summers, with mean July  
11 temperatures below  $\sim 12^{\circ}\text{C}$  (Callaghan et al. 2004a), and annual mean temperatures typically below  
12  $-10^{\circ}\text{C}$  (New et al. 1999). Consequently, plant growth is restricted to a relatively short growing  
13 season on the order of 3 months or less during the summer months. The Arctic is home to ca. 1800  
14 species of vascular plants and has less species diversity than more temperate biomes (Callaghan et  
15 al. 2004a). In addition to vascular plant species, mosses and lichens play a very important role in  
16 the Arctic ecosystem structure and functioning. Frozen soils are prevalent in high latitudes with a  
17 north to south gradient from continuous to discontinuous permafrost. In general, Arctic tundra is  
18 underlain by continuous permafrost. The spatial and temporal dynamics of permafrost and periodic  
19 disturbance are crucial in shaping the Arctic landscape and its heterogeneity with important  
20 consequences for the areal extent of wetlands and the exchange of carbon dioxide ( $\text{CO}_2$ ) and  
21 methane ( $\text{CH}_4$ ).

22

23 High-northern latitudes above  $50^{\circ}\text{N}$  contain approximately 53% of the global wetland area  
24 (Aselmann and Crutzen 1989). In non-aerated waterlogged soils, anaerobic conditions drastically

1 reduce microbial respiration rates leading to accumulation of soil organic matter. With low  
2 ambient temperatures, waterlogged soils and slow drainage, these ecosystems have been slowly  
3 accumulating large stores of carbon over the last 6000 years (Malmer 1992). Wetlands are thought  
4 to be the single largest source of CH<sub>4</sub>, although the total anthropogenic source, primarily from rice  
5 agriculture, ruminants and energy production, is larger (IPCC 2001). Methane is a much stronger  
6 greenhouse gas than CO<sub>2</sub> on a molecular basis and currently contributes about 20% of the radiative  
7 forcing of the anthropogenic well-mixed greenhouse gases. Despite this, relatively few studies  
8 (Friborg et al. 2003, Grant et al. 2003) address both CO<sub>2</sub> and CH<sub>4</sub> in the context of an ecosystem  
9 carbon balance. It is important to consider the responses of both of these gases to assess whether  
10 Arctic tundra will contribute to climate warming over the next century.

11  
12 Future climate warming is predicted to be most pronounced over the Arctic, especially during  
13 winter and spring. High northern latitude winters are expected to warm between 1.3 and 6.3°C by  
14 2100 (IPCC, 2001). Such changes will undoubtedly alter Arctic ecosystem structure and function.  
15 Continuing trends toward a warmer climate at high latitudes are expected to lead to a northward  
16 migration of tree-line, longer growing seasons and increased vegetation productivity, thawing of  
17 permafrost and warming and deepening of the soil active layer with associated large changes in  
18 hydrology. There is increasing evidence that these changes are already occurring across large  
19 portions of the Arctic (Serreze et al. 2003, Hinzeman et al. 2004, McDonald et al. 2004).

20  
21 Any change in Arctic hydrology will impact not only the areal extent of wetlands, but the  
22 exchange of both CO<sub>2</sub> and CH<sub>4</sub>. Arctic ecosystems exist near the freezing point of water and are  
23 especially sensitive to climate change. Observed trends and current projections of regional  
24 warming thus have the potential to yield dramatic changes in hydrological processes, with

1 associated increases in plant growth, soil nutrient mineralization and decomposition. Although  
2 Arctic tundra has been estimated to contain only 6 % of the total global terrestrial carbon stock  
3 (McGuire et al. 1997), the large and potentially volatile carbon pools currently stored in Arctic  
4 soils have the potential for large emissions of radiatively active greenhouse gases in the form of  
5 both CO<sub>2</sub> and CH<sub>4</sub> under warmer and potentially drier conditions, resulting in a positive feedback  
6 to global warming. Given the potential sensitivity of Arctic tundra to climate change and the  
7 expectation that the Arctic will experience appreciable warming over the next century, it is  
8 important to assess whether responses of ecosystem function and structure are likely to contribute  
9 or mitigate warming.

10  
11 Information from remote sensing and modeling can complement ground-based observations which  
12 are often difficult and expensive undertakings and necessarily of a limited spatial extent.  
13 Applications of spatially and temporally explicit models of land-atmosphere exchanges of CO<sub>2</sub> and  
14 CH<sub>4</sub> can be classified as either retrospective (i.e., historical applications) or prognostic (i.e.,  
15 projections into the future). Retrospective analyses are conducted in order to diagnose  
16 temporal/spatial patterns and/or mechanisms responsible for the historical dynamics of CO<sub>2</sub> and  
17 CH<sub>4</sub> exchange. Models based on remote sensing are increasingly being used in retrospective  
18 analyses. These models generally attempt to assimilate satellite-based estimates of land surface  
19 variables (e.g., land cover type, leaf area index, absorbed photosynthetically active radiation) as  
20 major drivers of relatively simple models describing carbon dynamics in space and time (e.g., net  
21 photosynthesis, net primary production). In contrast, process-based models generally account for  
22 more detailed, interacting processes, and are more data and computationally intensive than remote  
23 sensing approaches, while their greater detail allows simulation of potential vegetation response to  
24 future conditions. This predictive capability allows process-based models to be used in assessing

1 the responses of terrestrial ecosystems to scenarios of projected climate and disturbance, i.e.,  
2 prognostic analyses, while applications of remote sensing approaches are limited to current  
3 conditions and retrospective analyses of historical conditions. The comparison of remote sensing  
4 and process-based approaches in retrospective analyses is valuable in that insights from remote-  
5 sensing approaches can be used to improve process-based approaches for application in prognostic  
6 analyses. Because both remote sensing and process-based approaches are developed and evaluated  
7 with site-specific data on CO<sub>2</sub> and CH<sub>4</sub> exchange and with information on processes controlling  
8 the exchange of these gases, these approaches represent synthetic tools for assessing the current  
9 and future circumpolar carbon balance of Arctic tundra.

10  
11 In this paper we review the remote sensing and process-based approaches that have been used to  
12 assess the current and future exchange of CO<sub>2</sub> and CH<sub>4</sub> between the atmosphere and Arctic tundra.  
13 We also report on the results of previous studies that have assessed the dynamics of CO<sub>2</sub> and CH<sub>4</sub>  
14 of Arctic tundra in retrospective analyses of historical climatic variability and change over the 20<sup>th</sup>  
15 Century, and prognostic studies of projected climatic variability and change over the next century.  
16 We then discuss how these results compare with observational studies and the questions they raise  
17 about the role of various processes that may determine the potential response of Arctic tundra to  
18 future climate conditions.

## 19 20 Modeling Approaches

### 21 *Applications of Remote Sensed Data in Carbon Dioxide Exchange Modeling*

22 Satellite remote sensing provides capabilities for regional mapping and monitoring of biophysical  
23 variables important for Arctic carbon cycle research, and at spatial and temporal scales that are

1 generally inaccessible or impractical to field observations given resource, environmental and  
2 geopolitical constraints. Whereas field observations give detailed insight into the structure and  
3 function of the local ecosystem, the ability to extrapolate such information in heterogeneous Arctic  
4 landscapes is limited. In general remote sensing instruments were not designed to directly monitor  
5 the carbon cycle (although some prototypes sensors now exist), rather to detect land biosphere  
6 properties relevant to this cycle. Applications of satellite remote sensing used either directly or  
7 indirectly in high-latitude carbon cycle studies can be grouped into three general categories: 1)  
8 those directed at regional mapping of biophysical state variables such as land cover class (Gould et  
9 al. 2002, Walker et al. 2002), which can be used for initialization, calibration, and extrapolation of  
10 models (e.g., Soegaard et al. 2000); 2) empirical and process-oriented remote sensing algorithms  
11 linking spectral information such as the Normalized Difference Vegetation Index (NDVI) to more  
12 detailed ecosystem processes, such as phytomass (Walker et al. 2003), net primary production  
13 (Markon and Peterson 2002, Hope et al. 2003, Nemani et al. 2003) and net CO<sub>2</sub> flux (Oechel et al.  
14 2000a); 3) remote sensing detection of other physical and environmental parameters relevant to the  
15 carbon cycle, such as surface temperature (Comiso 2003), snow-cover (Dye and Tucker 2003),  
16 landscape and soil freeze-thaw state (Kimball et al. 2001, Zhang and Armstrong 2001), growing  
17 season timing and length (Kimball et al. 2004), vegetation greenness and phenology (Myneni et al.  
18 1997, Zhou et al. 2001, Lucht et al. 2002, Zhou et al. 2003) and fire disturbance (Boles and  
19 Verbyla 1999).

20

### 21 *Optical and near-infrared sensors*

22 Satellite remote sensing in visible and near-infrared wavelengths is sensitive to the energy  
23 absorbed by leaf chlorophyll (Markon and Peterson 2002, Zhou et al. 2003). This measure of land  
24 surface “greenness” is well correlated with leaf-area, leaf biomass and potential photosynthesis

1 (Tucker 1979, Tucker and Sellers 1986, Asrar et al. 1984, Myneni et al. 1995, Zhou et al. 2003),  
2 and is therefore useful for biosphere studies of the spatial distribution of plants, their seasonal  
3 functioning and for spatial extrapolation to regional and global scales. The most extensively used  
4 satellite products for pan-Arctic research are derived from optical and near-infrared sensors such  
5 as the NOAA Advanced Very High Resolution Radiometer (AVHRR).

6  
7 The global AVHRR time series is available from 1981 to the present with a spatial and temporal  
8 resolution of 8 km and 10-15 days, respectively (Zhou et al. 2001). Despite its original design for  
9 meteorological studies, AVHRR data provide a relatively continuous, long-term record of land  
10 surface characteristics relating to high latitude terrestrial carbon budgets (e.g., Myneni et al. 1997,  
11 Zhou et al. 2001, Nemani et al. 2003). The Moderate Resolution Imaging Spectroradiometer  
12 (MODIS) on-board the NASA Terra and Aqua satellites was specifically designed for monitoring  
13 terrestrial vegetation and is equipped with enhanced spectral and spatial resolution, improved  
14 atmospheric correction, georeferencing and on-board calibration for global observations of  
15 vegetation conditions. MODIS represents an improvement over AVHRR in that it provides  
16 relatively stable, global observations at a 1-km spatial resolution every 8 days from 2001 to the  
17 present (Running et al. 2000). The MODIS data stream also provides a relatively advanced suite of  
18 standardized variables for biospheric research including net photosynthesis and net primary  
19 production (NPP).

20  
21 Despite recent advances in data processing and sensor technology, optical/near-infrared remote  
22 sensing is limited by frequent cloud cover, atmospheric aerosols, shadowing and reduced solar  
23 illumination common to high latitude environments. These limitations inhibit satellite capabilities  
24 for detecting subtle environmental trends and physical variations in carbon cycle dynamics at high



1 latitudes. Previous investigations of long-term trends in the AVHRR record have shown evidence  
2 of recent advances in the onset of vegetation greening and the growing season at high latitudes  
3 (e.g., Myneni et al. 1997). However, the validity of these trends has been questioned because of  
4 the coarse 10-15 day temporal compositing of the data, atmospheric aerosol effects, problems with  
5 sensor and navigational drift, intercalibration of successive instruments, data contamination from  
6 volcanic eruptions and bidirectional effects (e.g., Gutman and Ignatov 1995, Gutman 1999, Cihlar  
7 et al. 1998).

#### 8 *Active and passive Microwave sensors*

9 Satellite active and passive microwave remote sensing techniques are sensitive to landscape and  
10 vegetation structure, orientation and dielectric properties associated with variable moisture content  
11 in plant biomass, soils and snow cover (Ulaby et al. 1986). A variety of studies have been  
12 conducted in boreal and Arctic environments using satellite active and passive microwave remote  
13 sensing to assess spatial and temporal patterns of biophysical variables that may be affecting  
14 regional carbon cycles. These variables include surface soil moisture (Kane et al. 1996), snow  
15 cover (Pulliainen and Hallikainen 2001, Armstrong and Brodzik 2001), plant biomass (Ranson et  
16 al. 1997), land cover and wetlands classifications (Ranson and Sun 2000, Bowling et al. 2003), soil  
17 and surface freeze-thaw status (Zhang and Armstrong 2001, Kimball et al. 2001) and growing  
18 season length (Frolking et al. 1999, Kimball et al. 2004). Unlike remote sensing at optical and  
19 near-infrared wavelengths, microwave remote sensing is generally sensitive to a greater volume of  
20 landscape vegetation, snow cover and soil. The ability of microwave sensors to detect changes in  
21 the structure and moisture status of these various landscape components is strongly dependent on  
22 sensor wavelength, polarization and spatial resolution, as well as landscape topography, vegetation  
23 structure, soil type and the presence/absence and structure of snow cover. Longer wavelengths  
24 (e.g., L-band) are generally sensitive to a greater volume of surface vegetation and soil media,

1 relative to shorter (Ku-, C-bands) wavelengths under similar conditions. These wavelengths are  
2 also largely independent of solar illumination, cloud cover and other atmospheric attenuation  
3 impacts that can significantly degrade remote sensing capabilities at optical and infrared  
4 wavelengths. Regional assessment and monitoring capabilities from spaceborne microwave remote  
5 sensing platforms largely depend on sensor design and orbital configuration and offer the potential  
6 for high-repeat, global mapping and regional monitoring under day-night and virtually all weather  
7 conditions.

8  
9 While current satellite microwave sensors have the potential to resolve pan-Arctic carbon cycle  
10 trends with better temporal (i.e., daily) accuracy than existing optical and near infrared based  
11 satellite records, their ability to accurately resolve these trends at finer (<25km) spatial scales is  
12 less certain, particularly for topographically complex landscapes. Current limitations of these  
13 sensors generally involve tradeoffs in achieving both high spatial and temporal fidelity. Current  
14 sensors such as SeaWinds and ERS scatterometers and SSM/I provide high-repeat (~daily)  
15 observations at high latitudes, but at relatively coarse (25-50km) spatial scales, which limit  
16 capabilities for resolving sub-grid scale variability of individual landscape components. Synthetic  
17 Aperture Radars (SARs) such as Radarsat and ERS-1/2 provide finer (3-200m) spatial resolutions,  
18 but with reduced (10-168day) temporal fidelity. New satellite microwave platforms are currently  
19 under development to achieve both improved spectral and spatial characterization of Arctic  
20 environments (Entekhabi et al. 2004). Additional research is being conducted to integrate  
21 synergistic information from both optical/near-infrared and microwave sensors for improved  
22 regional monitoring.

1 *Process-based approaches to modeling carbon dioxide exchange*

2 A number of process-based models of tundra carbon dynamics have been applied to assess the  
 3 response of CO<sub>2</sub> exchange in Arctic tundra to climate variability and climate change. A few of  
 4 these applications have been conducted at the pan-Arctic scale (Clein et al. 2000, McGuire et al.  
 5 2000a, Sitch et al. 2003, Callaghan et al. 2004a, b), while other applications involve regional  
 6 assessments in Alaska (Clein et al. 2000, McGuire et al. 2000a, Stieglitz et al. 2000, Williams et  
 7 al. 2000, 2001a, Le Dizès et al. 2003) and Russia (Zamolodchikov and Karelin 2001). There have  
 8 also been a number of local scale applications conducted at several Arctic sites in Alaska (McKane  
 9 et al. 1997a, b, Hobbie et al. 1998, Clein et al. 2000, Grant et al. 2003, Van Wijk et al. 2003,  
 10 Rastetter et al. 2004), the Zackenberg Valley in Greenland (Soegaard et al. 2000), and Svalbard,  
 11 Norway (Lloyd 2001). The models used for assessing tundra carbon dynamics in these studies  
 12 vary substantially in the degree of process representation and the applications of the models vary  
 13 substantially in temporal and spatial resolution (Table 1).

14

15 **Insert Table 1:** Description of Terrestrial Biosphere Models used in Arctic studies.

16

17 Several models used to assess the dynamics of Arctic tundra have been specifically designed to  
 18 enable parameterization and testing in the context of eddy covariance data. For example, the Soil-  
 19 Plant-Atmosphere model (SPA) of Williams et al.(1996, 2000, 2001a, b), the *Ecosys* model of  
 20 Grant (2001), and the model of Lloyd (2001) include a high-degree of process description, and  
 21 model flows of matter (e.g., carbon, water, and/or nitrogen) at a fine temporal resolution (i.e., half-  
 22 hourly), while model results are typically evaluated over a period of several days up to one or  
 23 more growing seasons. The SPA model has been temporally aggregated from sub-hourly to daily  
 24 time resolution within the Aggregated Canopy Model (ACM; Williams et al. 1996), which has

1 been applied to the Kuparuk River Basin of Alaska for examining spatial variability in GPP  
2 (Williams et al. 2001a) and the temporal variability of net CO<sub>2</sub> exchange (Stieglitz et al. 2000).  
3 *Ecosys* (Grant 2001) has been used to evaluate inter-annual and longer-term variability in carbon  
4 exchange (CO<sub>2</sub> and CH<sub>4</sub>) for coastal tundra at Barrow, Alaska (Grant et al. 2003), and the model  
5 of Lloyd (2001) to assess mechanisms driving interannual variability in carbon exchange for a  
6 high Arctic site on Svalbard, Norway.

7  
8 Other models have been developed, parameterized, and tested based on studies of experimental  
9 manipulations of water, light, nutrients and carbon dioxide, e.g. the Marine Biological Laboratory  
10 General Ecosystem Model (MBL-GEM) and the Terrestrial Ecosystem Model (TEM), which have  
11 been primarily parameterized and tested using experimental manipulations from Long Term  
12 Ecological Research (LTER) investigations at Toolik Lake, Alaska. Applications of MBL-GEM  
13 include site-specific assessments for tussock tundra near Toolik Lake (McKane et al. 1997a, b,  
14 Hobbie et al. 1998, Clein et al. 2000, Le Dizès et al. 2003, and Rastetter et al. 2004) and  
15 assessments for tundra in the Kuparuk River Basin in Alaska (Hobbie et al. 1998, Le Dizès et al.  
16 2003). Applications of TEM include multi-scale assessments of Arctic tundra near Toolik Lake  
17 and the Kuparuk River Basin of Alaska and across the pan-Arctic (Clein et al. 2000, McGuire et  
18 al. 2000a). Two models, TEM & LPJ-DGVM (Sitch et al. 2003, Callaghan et al. 2004a,b) have  
19 been used to assess the response of CO<sub>2</sub> exchange for pan-Arctic ecosystems in the 21<sup>st</sup> Century

20  
21 Models developed to represent sub-hourly variation in carbon exchange primarily rely on  
22 interactions between air temperature, atmospheric humidity, soil moisture, photosynthetically  
23 active radiation, and wind speed to simulate atmospheric CO<sub>2</sub> exchange (e.g., Williams et al.  
24 1996). These models vary in complexity; some prescribe certain critical variables such as canopy

1 nitrogen for calculating photosynthesis and respiration processes (e.g., Lloyd 2001, Williams et al.  
2 2000, 2001a, Stieglitz et al. 2000), while others attempt to dynamically simulate nitrogen (N)  
3 dynamics (Grant et al. 2003). Other models operate at coarser time scales such as monthly (e.g.,  
4 TEM and LPJ-DGVM) and growing season (e.g., MBL-GEM) intervals, and consider multiple  
5 constraints of temperature, water, light, and atmospheric CO<sub>2</sub> on carbon sequestration through  
6 photosynthesis and the effects of temperature, soil moisture, and substrate quality on  
7 decomposition processes. Both TEM and MBL-GEM explicitly simulate interactions between C  
8 and N cycling in ecosystems and limit carbon uptake by the vegetation based on the supply of  
9 nitrogen to meet the nitrogen requirement of building new tissue. While the LPJ-DGVM does not  
10 explicitly represent interactions between C and N dynamics, it does represent how competition  
11 among different plant functional types (e.g., herbaceous and woody vegetation) for water and light  
12 influence the composition of the vegetation. Thus, while there are many similarities among the  
13 models that have been used to assess the CO<sub>2</sub> exchange of Arctic tundra, there is also substantial  
14 diversity in model representation of critical processes.

15

### 16 *Approaches to modeling methane exchange*

17 In spite of their importance to the global carbon cycle, there is considerable uncertainty in present  
18 day estimates of CH<sub>4</sub> emissions from Arctic wetlands. Process-based modeling of CH<sub>4</sub> emissions  
19 use intensive, small-scale measurements for model development and calibration, followed by  
20 spatial and temporal extrapolations of model simulations using regional meteorological, vegetation  
21 and topographic data as model inputs (Cao et al. 1996, Walter et al. 2001a, Zhuang et al. 2004a,  
22 and Gedney et al. 2004). In general, process-based approaches separate soils into an upper  
23 unsaturated zone and a lower saturated zone according to water table depth. Consumption of CH<sub>4</sub>,

1 i.e., methanotrophy, occurs in the unsaturated zone, and production of CH<sub>4</sub>, i.e., methanogenesis,  
2 occurs in the saturated zone. If the rate of methanogenesis is greater than the rate of  
3 methanotrophy, then methane is emitted from soils through diffusion. A number of environmental  
4 variables influence methanotrophy and methanogenesis in process-based models. Methanogenesis  
5 is often modeled as an anaerobic process that depends on carbon substrate availability, soil  
6 temperature, soil pH, and redox potential. Methanotrophy is often modeled as an aerobic process  
7 that depends on soil CH<sub>4</sub> concentration, soil temperature, soil moisture, and redox potential. In  
8 addition, methane transport through diffusion, plant-aided transport through hollow tubes, and the  
9 movement of bubbles through the water column (ebullition) may be represented in process-based  
10 models of CH<sub>4</sub> exchange. These models are generally parameterized, calibrated, and  
11 verified/validated with data from site-specific studies of CH<sub>4</sub> dynamics. Data from sites in Alaska  
12 (Toolik Lake, Bonanza Creek, Fairbanks) and the two North American BOREAS study areas were  
13 used in Zhuang et al. 2004a. Walter et al. 2001a used data from five sites including an Arctic  
14 tundra site in Alaska and two high-latitude sites in Scandinavia.

15  
16 Extrapolation of process-based approaches relies on estimating the extent of wetlands using  
17 prescribed data sets of the fraction of the landscape that is inundated by wetlands. The hydrology  
18 of process-based approaches varies in sophistication. Some approaches explicitly consider the  
19 presence and absence of permafrost (e.g., Zhuang et al. 2004a, Gedney and Cox, 2003) in  
20 modeling water table depth and soil thermal dynamics. However, in some cases the hydrology in  
21 process-based models of CH<sub>4</sub> exchange is borrowed from land surface schemes of climate models  
22 that represent hydrology based on mineral soils. Recent improvements in the land surface schemes  
23 of climate models that are more appropriate for northern wetlands include explicit  
24 parameterization for peatlands (Letts et al. 2000) and the representation of non-vascular plants

1 (Comer et al. 2000). Walter et al. (2001b) also highlight the need for including the effect of micro-  
2 topography on modeling water table heights. Most contemporary process-based models of CH<sub>4</sub>  
3 exchange are not able to simulate how wetland extent responds to climate change. However, a new  
4 generation of process-based models that attempt to model the temporal and spatial dynamics of  
5 both wetland distribution and associated methane emissions are emerging (Kaplan 2002, Krinner  
6 2003, Gedney and Cox 2003).

## 8 Carbon Dioxide Exchange with the Atmosphere

### 9 *Retrospective analyses by remote sensing approaches*

10 The long-term (since 1982) data record from the NOAA AVHRR suite of satellites indicates a  
11 general greening trend, longer growing seasons and increased vegetation productivity for the mid  
12 to high latitudes, including the Arctic (Myneni et al. 1997, Zhou et al. 2001, Nemani et al. 2003).  
13 These records are generally consistent with satellite microwave observations showing a regional  
14 trend towards earlier spring thaw and onset of the growing season (Figure 2); decreased areal  
15 extent of snow cover and an earlier onset of seasonal snow melt (Dye and Tucker 2003, Groisman  
16 et al. 1994, Stone et al. 2002) for the pan-Arctic; and regional degradation of permafrost (Hinzman  
17 et al. 2001, Stow et al. 2004).

18  
19 **Insert Figure 2.** Long-term (1988-2002) trends in the primary spring thaw day for the pan-Arctic  
20 basin and Alaska.

21  
22 While these trends are derived from relatively coarse spatial scale (0.25-0.5 degree resolution)  
23 observations, other higher spatial resolution and longer-term observations from aerial

1 photographic records corroborate these results, showing a general increase in shrubs over the last  
2 40 years in the Alaskan Arctic (Sturm et al. 2001, Stow et al. 2004). While the general trend in  
3 these observations indicates greening and higher productivity for the region, they also show  
4 considerable inter annual and spatial variability, with many areas experiencing decreased  
5 greenness and lower productivity (Figure 3).

6  
7 **Insert Figure 3.** Map of long-term (1982-2000) trends in annual gross primary production (GPP)  
8 for the pan-Arctic basin and Alaska.

9  
10 Overall, these satellite observations indicate changes in above-ground vegetation activity and snow  
11 cover, but provide little information on below-ground processes affecting soil carbon and nitrogen  
12 dynamics.

### 13 *Retrospective analyses of process-based approaches*

14 In general, retrospective analyses using process-based models of the land-atmosphere CO<sub>2</sub>  
15 exchange for individual tundra locations and over the pan-Arctic domain of tundra ecosystems  
16 together suggest large inter-annual and spatial variability in historical sink vs. source activity  
17 (McKane et al. 1997b, Clein et al. 2000, McGuire et al. 2000a, Stieglitz et al. 2000, Lloyd 2001,  
18 Grant et al. 2003, Le Dizès et al. 2003, Sitch et al. 2003, Rastetter et al. 2004), with little net  
19 carbon storage in Arctic tundra ecosystems over the last century. For example, inter-annual  
20 variability of pan-Arctic tundra carbon dynamics over the last century as simulated by the TEM  
21 model of McGuire et al. (2000a) ranges from a net source of 18 g C·m<sup>-2</sup>·yr<sup>-1</sup> to a sink of 16 g C·m<sup>-2</sup>·yr<sup>-1</sup> (2000) for atmospheric carbon. While these results indicate a long-term net loss from tundra  
22 ecosystems of only 1 g C/m<sup>2</sup> over the last century, over the last 25 years tundra ecosystems appear  
23



1 to have stored approximately  $10 \text{ g C/m}^2$  of atmospheric carbon. The TEM simulation by McGuire  
2 et al. (2000a) is also characterized by substantial spatial variability in Arctic terrestrial carbon  
3 source-sink activity throughout the last century (Figure 4; see also Figure 9, McGuire et al. 2000a).

4  
5 **Insert Figure 4.** TEM simulated historical and projected changes in NPP,  $R_H$ , and NEP.

6  
7 Among process-based models there are major differences in the sensitivities of terrestrial carbon  
8 exchange to climatic variability. To understand the importance of these sensitivities, it is important  
9 to examine responses at particular time scales.

10  
11 Retrospective analyses of interannual variability differ in how plant carbon uptake, i.e., GPP or  
12 NPP, and carbon release from soils have responded to historical variation in temperature. Some  
13 analyses indicate that both  $\text{CO}_2$  uptake by vegetation and release by soils were highly correlated  
14 with interannual variability in temperature (McKane et al. 1997b, Stieglitz et al. 2000, Lloyd 2001,  
15 Grant et al. 2003, Le Dizès et al. 2003). Other analyses indicate that neither  $\text{CO}_2$  plant uptake nor  
16 release by soils were correlated with temperature (Clein et al. 2000, McGuire et al. 2000a).

17 Instead, NPP was highly correlated with net nitrogen mineralization and  $R_H$  was negatively  
18 correlated with soil moisture changes above field capacity (Clein et al. 2000, McGuire et al.  
19 2000a).

20  
21 The analysis of McKane et al. (1997b) is notable because it identified that under assumed  
22 conditions of constant soil moisture, the relative sensitivities of NPP and  $R_H$  responses to  
23 temperature changed between the 19<sup>th</sup> and 20<sup>th</sup> Centuries. This is a consequence of lower  
24 temperature optima for NPP than  $R_H$  (Kane et al. 1997b). In the 19<sup>th</sup> Century, NPP was more

1 sensitive to a unit change in temperature than  $R_H$ , while  $R_H$  was more responsive in the 20<sup>th</sup>  
2 Century after a warmer climate had emerged from the Little Ice Age. In contrast, under conditions  
3 in which increased temperature was coupled with decreased soil moisture, the analyses of McKane  
4 et al. (1997b) indicate that the response of NPP was weakly correlated with temperature in  
5 comparison with the response of  $R_H$ . In general, analyses by process-based models agree that  
6 decreases in soil moisture lead to a net release of  $CO_2$  from increased aerobic respiration in tundra  
7 soils (McKane et al. 1997a, b, Stieglitz et al. 2000, Clein et al. 2000, McGuire et al. 2000a, Grant  
8 et al. 2003, Rastetter et al. 2004) as soils with moisture contents above field capacity become  
9 progressively more aerobic. While there is some disagreement about the relative sensitivities of  
10 NPP and  $R_H$  to historical interannual variability in temperature, process-based models generally  
11 agree that plant uptake and decomposition will not decline with year-to-year increases in  
12 temperature. The models also agree that year-to-year declines in soil moisture will lead to  
13 increases in decomposition as long as soil moisture is above field capacity.

14  
15 Interannual variability in summer precipitation and rainfall frequency may also play a role in  
16 interannual variability of  $CO_2$  exchange because mosses and lichens, which do not have vascular  
17 systems, must be wetted to effectively take up carbon through photosynthesis. Non-vascular plants  
18 in tundra ecosystems contribute substantially to annual uptake of carbon by the vegetation (Lloyd  
19 2001, Grant et al. 2003). In the high Arctic, the modeling analysis of Lloyd (2001) revealed that  
20 differences in summer precipitation, and importantly the frequency of rain events, explained, in  
21 part, interannual differences in growing season net  $CO_2$  exchange because of differences in the  
22 photosynthetic response of non-vascular plants between summers with high vs. low precipitation.  
23 Photosynthesis of non-vascular plants was positively correlated with summer rainfall frequency  
24 (Lloyd et al. 2001). The cause was the direct effect of plant moisture content on photosynthesis,

1 and not an indirect effect of variable summer temperatures or light-levels among years (Lloyd et  
2 al. 2001, Lloyd per comm.).

3  
4 For climatic variation on longer time scales, the responses of some models identify that other  
5 factors beyond the simple temperature sensitivities of plant uptake and decomposition may play a  
6 role in the response of carbon storage, e.g. in greater CO<sub>2</sub> uptake by vegetation in response to a  
7 lengthening of the growing season (Van Wijk et al. 2003, also see Lloyd 2001). In other analyses  
8 the long-term historical response of net carbon storage depends on interactions between soil and  
9 plant nitrogen cycling. Specifically, increased decomposition in response to increased temperature  
10 results in the mineralization of soil nitrogen, which if taken up by plants, allows greater  
11 sequestration and storage of atmospheric carbon because photosynthesis and net primary  
12 production by tundra vegetation are generally nitrogen limited. Since plants have a higher C:N  
13 ratio than soils, the transfer of N from soils to plants tends to lead to net carbon storage (Shaver et  
14 al. 1992, McKane et al. 1997b, Clein et al. 2000, McGuire et al. 2000a, Stieglitz et al. 2000, Le  
15 Dizès et al. 2003, Rastetter et al. 2004). This response can be greatly enhanced if there are shifts  
16 from herbaceous vegetation to shrubs because allocation of carbon to woody tissue results in  
17 shrubs having a higher C:N ratio than herbaceous plants (McKane et al. 1997b, Le Dizès et al.  
18 2003, Rastetter et al. 2004). In some models, these interactions result in simulations where the  
19 temperature response of plant carbon uptake tends to be greater than the temperature response of  
20 decomposition (Clein et al. 2000). In other models there is a lag between decomposition and NPP  
21 that results in a short-term carbon loss from the system as an increase in temperature initially  
22 enhances decomposition more than NPP followed by a net carbon gain as NPP is enhanced more  
23 than decomposition (McKane et al. 1997b, Le Dizès et al. 2003). While the potential exists for  
24 warmer temperatures to substantially increase net carbon storage for Arctic tundra because of the

1 interactions between soil and plant nitrogen dynamics, the capacity of plant uptake of newly  
2 available nitrogen to compensate for increases in decomposition depends on whether the additional  
3 nitrogen is retained by tundra ecosystems in a labile form (McGuire et al. 1992, McKane et al.  
4 1997b, Stieglitz et al. 2000). Stieglitz et al. (2000) argue that historical carbon dynamics were  
5 reproduced only for the assumption that most of the nitrogen released by enhanced decomposition  
6 was retained by tundra ecosystems in a labile form.

### 7 *Prognostic applications of process-based approaches*

8 In general, prognostic simulations of process-based models under future climate scenarios for the  
9 21<sup>st</sup> Century indicate that both NPP and  $R_H$  of tundra ecosystems will increase in response to  
10 projected climatic warming (Clein et al. 2000, McGuire et al. 2000a, Stieglitz et al. 2000, Sitch et  
11 al. 2003, Grant et al. 2003, Le Dizès et al. 2003, Rastetter et al. 2004). Most of these analyses  
12 indicate that tundra ecosystems will take up more atmospheric  $CO_2$  than they lose, resulting in a  
13 net increase in carbon storage for tundra ecosystems. Pan-Arctic model simulations show net  
14 increases in carbon storage ranging from approximately 500 to 3000 g C/m<sup>2</sup> over the 21<sup>st</sup> Century  
15 (Clein et al. 2000, McGuire et al. 2000a, Sitch et al. 2003, Callaghan et al. 2004a, b). Two  
16 exceptions are site-specific analyses of Stieglitz et al. (2000) and Grant et al. (2003), which  
17 estimate that enhanced uptake and release of  $CO_2$  will be approximately balanced during the 21<sup>st</sup>  
18 Century for tundra ecosystems at Toolik Lake, Alaska and Barrow, Alaska, respectively.  
19 Furthermore, the analysis of Grant et al. (2003) suggests that long-term response of the Arctic  
20 terrestrial carbon budget depends on the rate of future climate warming, as temperature increases  
21 that are 50% greater than those for the IS92a scenario used in the study result in substantial  
22 estimated losses of soil carbon ( $\sim 1000$  g C/m<sup>2</sup>) over the 21<sup>st</sup> Century. In contrast, the simulations  
23 with LPJ (Callaghan et al. 2004a, b) indicate net carbon storage for the pan-Arctic over a range of

1 projected climate scenarios (Figure 5, Table 2). However, the prognostic simulations with *Ecosys*  
2 (Grant et al. 2003) and LPJ (Sitch et al. 2003, Callaghan et al. 2004a, b) are consistent in that the  
3 warmest scenario (ECHAM4) among the prognostic simulations of LPJ led to the lowest net  
4 carbon gain, while the coldest of the warming scenarios (CCC) led to highest carbon storage.  
5 Among LPJ simulations (Callaghan et al. 2004a, b), the largest variation in response to climate  
6 change was in the soil carbon pools, indicating that the response of decomposition played an  
7 important role in the overall response of ecosystem carbon storage.

8

9 **Insert Figure 5.** Future NEP simulated by LPJ with multiple climate change scenarios.

10

11 **Insert Table 2.** Changing carbon storage and vegetation distribution (LPJ)

12

13 Similar to the retrospective analyses, prognostic simulations of tundra CO<sub>2</sub> exchange by process-  
14 based models are characterized by substantial temporal and spatial variability. In contrast to the  
15 retrospective analysis of McGuire et al. (2000a), pan-Arctic NPP and R<sub>H</sub> are each strongly  
16 correlated with changes in temperature. Furthermore, in comparison with the retrospective  
17 analysis, the correlation of NPP with net nitrogen mineralization in the prognostic simulation is  
18 stronger, while the correlation of R<sub>H</sub> with changes in soil moisture is weaker. Besides identifying  
19 the potential for temporal differences in the response of fluxes (NPP and R<sub>H</sub>) to changes in  
20 environmental variables, McGuire et al. (2000a) also analyzed their spatial variability in the  
21 prognostic simulations. First there were substantial differences in the marginal response of NPP  
22 and R<sub>H</sub> to changes in temperature and soil moisture between moist tundra of the low arctic and  
23 polar desert of the high arctic. For example, the proportion of variation in NPP explained by  
24 temperature in polar desert is 0.63 in mid 20th Century but drops to 0.44 in the late 21st Century.

1 In contrast, the marginal response of NPP to temperature in moist tundra differs little between the  
2 mid 20th Century ( $R^2 = 0.69$ ) and the late 21st Century ( $R^2 = 0.70$ ). Second, a comparison of  
3 projected responses of moist tundra carbon dynamics between simulations for the Kuparuk River  
4 Basin and Pan-Arctic identified differences in the marginal response of fluxes to changes in  
5 temperature and soil moisture. For example, the proportion of variation in NPP explained by  
6 temperature at the pan-Arctic scale is 0.39 in mid 20th Century but drops to 0.32 in the late 21st  
7 Century. In contrast, the marginal response of NPP to temperature in Kuparuk River Basin differs  
8 little between the mid 20th Century ( $R^2 = 0.89$ ) and the late 21<sup>st</sup> Century ( $R^2 = 0.91$ ). The variable  
9 results of the analysis of spatial variability in the sensitivity of carbon dynamics to changes in  
10 environmental variables caution against simple extrapolations of analyses for individual sites or  
11 restricted regions to the entire domain of pan-Arctic tundra.

12  
13 Similar to retrospective analyses, the importance of different mechanisms responsible for increases  
14 in carbon uptake and decomposition in prognostic simulations vary among analyses. While the  
15 climate change analysis of Van Wijk et al. (2003) indicates that tundra GPP will generally increase  
16 with warmer temperatures and earlier onset and lengthening of the growing season, the degree of  
17 GPP response largely depends on the onset of the end of the growing season. If the appearance of  
18 frost regulates the end of growing season, the analysis of Van Wijk et al. (2003) indicates that a  
19 warming of 3°C could increase GPP by 30% in tussock tundra compared with a 10% increase if  
20 the onset of the end of the growing season is regulated by photoperiod. While lengthening of the  
21 growing season also contributed to increased seasonal photosynthesis of most of the prognostic  
22 analyses, increases in NPP are primarily associated with the transfer of soil nitrogen to vegetation  
23 with higher C:N ratios (Clein et al. 2000, McGuire et al. 2000a, Stieglitz et al. 2000, Grant et al.  
24 2003, Le Dizès et al. 2003, Rastetter et al. 2004). In the simulations conducted by Le Dizès et al.

1 (2003), the role of changes in the C:N ratio of tundra vegetation, i.e., a shift from herbaceous  
2 vegetation to shrubs, contributed more to the increase in NPP by the end of the 21<sup>st</sup> Century than  
3 the transfer of N from soil to vegetation only for the application of a wet scenario. For most  
4 models that explicitly consider C-N interactions, the response of NPP to increases in atmospheric  
5 CO<sub>2</sub> is minimal because vegetation production is generally limited by nitrogen availability.  
6 However, in some of the models the C:N ratio of vegetation increases slightly in response to  
7 increasing atmospheric CO<sub>2</sub> and explains some of the increased carbon storage (Clein et al. 2000,  
8 McGuire et al. 2000a, Le Dizès et al. 2003, Rastetter et al. 2004, see also McGuire et al. 1997).  
9 Although LPJ (Sitch et al. 2003; Figure 5) does not explicitly consider C-N interactions, an  
10 increase in woody vegetation is primarily responsible for increases in carbon storage simulated for  
11 the Pan-Arctic. For LPJ, 55% of the average net carbon storage among future climate scenario  
12 simulations is associated with future increases in atmospheric CO<sub>2</sub>.

13  
14 While prognostic model simulations generally agree that increases in temperature will lead to  
15 increases in decomposition, the sensitivity of decomposition response varies among the models  
16 and depends substantially on how soil moisture responds to changes in temperature and  
17 precipitation (Clein et al. 2000, McGuire et al. 2000a, Sitch et al. 2003, Callaghan et al. 2004a, b).  
18 Another important issue in the response of decomposition concerns the representation of labile vs.  
19 recalcitrant soil organic matter (Clein et al. 2000). If most of the R<sub>H</sub> flux is derived from C derived  
20 from recent photosynthetic activity, i.e., labile soil organic matter, then the response of R<sub>H</sub> to  
21 changes in temperature has the potential to track the response of NPP. In contrast if a substantial  
22 portion of the R<sub>H</sub> is derived from recalcitrant pools, then the response of R<sub>H</sub> will lag increases in  
23 NPP and tend to increase C storage. The alternative representations of different patterns of C

1 cycling in tundra soils result in greater differences in simulated C storage for the pan-Arctic  
2 between the end of the 20<sup>th</sup> and 21<sup>st</sup> centuries (Figure 6).

3  
4 **Insert Figure 6.** Simulated spatial and temporal variability in mean annual NEP in moist tundra  
5 ecosystems north of 50° N by the Terrestrial Ecosystem Model (TEM) with the reference  
6 parameterization and by TEM with the parameterization for fast soil C dynamics for the decade  
7 from 1985 to 1994 and for the decade from 2085 to 2094. (Figure 10 of Clein et al. 2000)

8

### 9 Methane Exchange with the Atmosphere

10 Global estimated CH<sub>4</sub> emissions from terrestrial soils range from 150 to 250 Tg CH<sub>4</sub>/yr under  
11 contemporary climate conditions (Prather et al. 2001). Studies that estimate high latitude CH<sub>4</sub>  
12 emissions rarely separate boreal forest from tundra, but estimates for wetlands north of 45° N  
13 range from 31 to 106 Tg CH<sub>4</sub>/yr among 12 studies (see Table 3 of Zhuang et al. 2004a). The study  
14 of Zhuang et al. (2004a), which used a process-based model to conduct a retrospective analysis of  
15 CH<sub>4</sub> dynamics for terrestrial ecosystems north of 45° N, estimated annual net emissions of 51 Tg  
16 CH<sub>4</sub>/yr for this region at the end of the 20<sup>th</sup> Century, with 57 Tg CH<sub>4</sub>/yr being emitted from soils  
17 and 6 Tg CH<sub>4</sub>/yr being consumed by soils. Russia, Canada and Alaska were major regional  
18 sources of CH<sub>4</sub> to the atmosphere, being responsible for 64%, 11%, and 7% of the simulated net  
19 emissions, respectively, with the remaining 18% from other land mass north of 45°N (e.g.  
20 Scandinavia, eastern Europe). Tundra ecosystems are estimated to account for emissions of 21 Tg  
21 CH<sub>4</sub>/yr for tundra north of 45° N during the 1990s. Similar to retrospective analyses of CO<sub>2</sub>  
22 exchange with the atmosphere, the simulations of Zhuang et al. (2004a) indicate large inter-annual  
23 variability in the magnitude of CH<sub>4</sub> emissions of Arctic tundra over the 20<sup>th</sup> Century in response to  
24 interannual climate variability. Estimated emissions range from 13-30 Tg CH<sub>4</sub>/yr over the 20<sup>th</sup>



1 century for tundra ecosystems. The study by Zhuang et al. (2004a) estimates that annual methane  
2 emissions of high latitude ecosystems have increased at a rate of 0.08 Tg CH<sub>4</sub>/yr over the 20<sup>th</sup>  
3 Century, and more substantially in recent decades (~ 1 Tg CH<sub>4</sub>·yr<sup>-1</sup>·yr<sup>-1</sup> in the 1980s), which is  
4 consistent with observed changes in atmospheric CH<sub>4</sub> concentrations. Results suggest that changes  
5 in climate, with increases in mean annual temperature and precipitation, over the 20<sup>th</sup> century led  
6 to enhanced plant production (and increased root exudates for methanogenesis) and changes in the  
7 soil environment which together resulted in enhanced CH<sub>4</sub> emissions (Table 6, Zhuang et al.  
8 2004a). An increase in natural CH<sub>4</sub> emissions can only partly explain the increase in atmospheric  
9 CH<sub>4</sub> concentrations. Atmospheric concentrations are influenced by a variety of factors, including  
10 atmospheric transport, atmospheric CH<sub>4</sub> oxidation, and anthropogenic emissions which have  
11 increased during this period (Zhuang et al. 2004a). Decadal-scale variation in simulated net  
12 emissions of CH<sub>4</sub> are more highly correlated with decadal variation temperature than precipitation.  
13 This is in agreement with a global atmospheric inversion analysis (Gedney et al. 2004), which  
14 suggests that temperature (not precipitation) is the dominant driver of inter-annual variability in  
15 global wetland CH<sub>4</sub> flux in the recent past. Thus, the retrospective analysis of sensitivity of CH<sub>4</sub>  
16 emissions to historical climate variability indicate that CH<sub>4</sub> emissions may be very sensitive to  
17 temperature, and suggests that increases in temperature are likely to increase net CH<sub>4</sub> emissions  
18 from northern wetlands.

19

20 **Insert Figure 7.** Simulated net CH<sub>4</sub> emissions and consumption in tundra ecosystems in the Pan-  
21 Arctic region (45°N above) during the 1990s. Positive values indicate the net CH<sub>4</sub> release to the  
22 atmosphere, and negative values indicate the CH<sub>4</sub> uptake from the atmosphere.

23

1 Cao et al. (1998) predict that, under a scenario of modest warming ( $+1^{\circ}\text{C}$ ) of mean annual  
2 temperatures and no change in soil water, northern wetland  $\text{CH}_4$  emissions are enhanced up to  
3 45%. However, if the effect of temperature on evaporation and thus soil moisture is included, then  
4 a temperature increase greater than  $2^{\circ}\text{C}$  would result in a reduction in net  $\text{CH}_4$  emissions. An  
5 increase in temperature and precipitation of  $2^{\circ}\text{C}$  and 10%, respectively, produces a 20% increase  
6 in northern wetland  $\text{CH}_4$  emissions. These results demonstrate the critical interaction between  
7 temperature and soil moisture in regulating methane emissions for tundra ecosystems.

8  
9 Using a process-based model which was calibrated from present day atmospheric  $\text{CH}_4$  variability,  
10 Gedney et al. (2004) predict increases in global  $\text{CH}_4$  flux between present day and 2100 of  
11 approximately 75% under the IS92A scenario (The radiative feedback of enhanced wetland  $\text{CH}_4$   
12 emissions are included in this simulation). The corresponding emissions from non-tropical  
13 ( $>30^{\circ}\text{N}$ ) northern wetlands increase by approximately 100% (e.g., 44 to 84 Tg  $\text{CH}_4/\text{yr}$  in one set of  
14 simulation ensembles). These results occur despite an estimated  $\sim 10\%$  reduction in northern  
15 wetland areal extent. The overall pattern of change in high latitude wetland extent is not  
16 geographically uniform, however, but appears very dependant on the regional extent of current and  
17 predicted future permafrost.

18  
19 The application of process-based models to estimate responses of  $\text{CH}_4$  exchange to climate change  
20 for high latitude ecosystems indicate that  $\text{CH}_4$  emissions from wetland soils will be enhanced more  
21 than  $\text{CH}_4$  consumption by upland tundra soils. For example, the study of Zhuang et al. (2004b)  
22 indicates that  $\text{CH}_4$  emissions from ecosystems in Alaska have the potential to double by the end of  
23 the 21<sup>st</sup> Century. Sensitivity analyses of  $\text{CH}_4$  emissions from wetlands conducted by Zhuang et al.  
24 (2004b) indicate that a  $2^{\circ}\text{C}$  average temperature increase has the potential to stimulate  $\text{CH}_4$

1 production and hence enhance CH<sub>4</sub> emissions by 30%. This represents a much larger response  
2 than the estimated 20% increase in CH<sub>4</sub> emissions associated with a projected 10 mm rise in the  
3 water table. Results of a sensitivity analysis also demonstrate a linkage between CO<sub>2</sub> uptake by  
4 vegetation and CH<sub>4</sub> emissions, as an estimated 20% increase in NPP leads to an 8% increase in  
5 methane emissions. The simulations of Zhuang et al. (2004b) for Alaska indicate that methane  
6 emissions of 3 Tg CH<sub>4</sub>/yr by year 2000 increased at an annual rate of 0.026 Tg CH<sub>4</sub>/yr over the  
7 21<sup>st</sup> Century. This simulated increase in CH<sub>4</sub> emissions is primarily explained by the temperature  
8 sensitivity of methanogenesis, and secondarily by the increase in NPP of Alaskan ecosystems  
9 simulated for the 21<sup>st</sup> Century, in total out-weighting the effects of an average annual drop in the  
10 water table of 0.1 mm/yr. The sensitivity to temperature at the regional scale is consistent with a  
11 site specific prognostic simulation of CH<sub>4</sub> emissions at Barrow Alaska by Grant et al. (2003),  
12 which predicted that CH<sub>4</sub> emissions would double by the end of the 21<sup>st</sup> Century for a temperature  
13 increase under an IS92a projected climate scenario, and would triple for a temperature increase of  
14 1.5 times the IS92a scenario.

15

## 16 Discussion

17 A growing body of evidence indicates that the Arctic is becoming warmer (Chapman and Walsh  
18 1993) and drier (Oechel et al. 1993, Serreze et al. 2000). Long-term satellite and aerial remote  
19 sensing observations of a greening Arctic indicate a regional response of increasing vegetation  
20 productivity and accelerated sequestration and storage of atmospheric CO<sub>2</sub> by tundra vegetation  
21 (Myneni et al. 1997, Sturm et al. 2001, Nemani et al. 2003). Increasing vegetation productivity  
22 may be a direct response to a warming climate (i.e., more favorable temperatures for  
23 photosynthesis and longer growing seasons), as well as an indirect response to increased soil  
24 decomposition and respiration processes and an accelerating nitrogen cycle. Of particular concern

1 is the response of tundra soil carbon pools to warming climate (Lal et al. 2000). Models are  
2 important tools that synthesize information from observation and process studies and can be used  
3 to assess the vulnerability of tundra carbon storage to climatic variability and change.

4  
5 Taken together, retrospective analyses of remote sensing and process-based models suggest that  
6 Arctic tundra is currently either a small sink or a small source of atmospheric CO<sub>2</sub> and that there is  
7 substantial inter-annual and spatial variability in the exchange of CO<sub>2</sub>. The applications of both  
8 remote sensing and process-based approaches generally agree that NPP of Arctic tundra has  
9 increased in response to rising temperature during the last several decades, leading to greater  
10 storage of carbon in vegetation carbon pools and greater inputs of carbon into the soils of Arctic  
11 ecosystems. While the models generally agree that R<sub>H</sub> is also increasing in response to rising  
12 temperature in recent decades, there is variability among the models as to whether R<sub>H</sub> is keeping  
13 pace with NPP or R<sub>H</sub> is lagging NPP. Some of the models indicate that there is the potential for R<sub>H</sub>  
14 to increase more than NPP in response to a sudden rise in temperature in the short term, but that  
15 interactions between C and N dynamics cause NPP to increase more than R<sub>H</sub> as N is transferred to  
16 vegetation as additional biomass and leaf area, and as the composition tundra changes from  
17 herbaceous vegetation to shrubs with a larger C:N ratio in response to changes in climate and  
18 atmospheric CO<sub>2</sub>.

19  
20 The responses of NPP and R<sub>H</sub> during the last several decades are generally consistent with several  
21 observational studies. Increases in NPP simulated by process-based models over the last several  
22 decades are consistent with several remote sensing analyses based on NDVI trends (Myneni et al.  
23 1997, Zhou et al. 2001, Nemani et al. 2003, Jia et al. 2004), which is also consistent with  
24 photographic and remote sensing analyses indicating that tundra in Alaska is becoming more

1 shrubby (Sturm et al. 2001a, Silapaswan et al. 2001, Stow et al. 2004). Among studies that have  
2 examined the growing season CO<sub>2</sub> exchange of tundra ecosystems on the North Slope of Alaska  
3 over the last several decades, there appears to have been an initial release of CO<sub>2</sub> followed by a  
4 longer-term response of either decreasing source strength or increasing sink strength for  
5 atmospheric CO<sub>2</sub> (Oechel et al. 2000b). This analysis is consistent with model results indicating  
6 that R<sub>H</sub> may potentially increase more than NPP in response to a sudden rise in temperature in the  
7 short term, but that interactions between C and N dynamics will cause NPP to increase more than  
8 R<sub>H</sub> over the long term. A time lag in the response of CO<sub>2</sub> exchange by ecosystems to increases in  
9 temperature also agrees with the findings of Braswell et al. (1997).

10  
11 Similar to the retrospective analyses of CO<sub>2</sub> exchange over the last several decades, a key issue of  
12 the future response of CO<sub>2</sub> exchange of Arctic tundra is whether increases in R<sub>H</sub> in response to  
13 rising temperature will keep pace with increases in NPP. The modeling analyses generally agree  
14 that the response of R<sub>H</sub> to temperature will be greater if soils dry in response to climate change.  
15 The analysis of Clein et al. (2000) indicated that R<sub>H</sub> will more likely keep pace if most of the R<sub>H</sub>  
16 flux is derived from labile carbon pools. Also, some analyses indicate that R<sub>H</sub> could continue to  
17 increase at a faster rate than NPP if temperature increases are sufficient to deepen soil active  
18 layers, making additional soil organic matter available for decomposition (e.g., Grant et al. 2003,  
19 see also Goulden et al. 1998). The greater rate of R<sub>H</sub> increase can be maintained if thawing  
20 permafrost exposes labile soil organic matter that is below the rooting zone of plants so that newly  
21 available N is not available for plant uptake. While some analyses on the pan-Arctic scale do  
22 consider permafrost dynamics, they do not explicitly consider the decomposition of soil organic  
23 matter that has been exposed by the thawing of permafrost and may overestimate the degree of  
24 future net storage of atmospheric CO<sub>2</sub>.

1

2 In general, retrospective analyses of CH<sub>4</sub> exchange during the last several decades indicate that net  
3 methane emissions are increasing in response to rising temperature because of the sensitivity of  
4 methanogenesis to rising soil temperatures. The simulated increase in methane emissions by  
5 process-based models over this period is consistent with changes in the atmospheric concentration  
6 of methane in recent decades, and with atmospheric inversions of methane dynamics (Chen et al.  
7 2004). The prognostic analyses of CH<sub>4</sub> exchange also indicate that net methane emissions from  
8 Arctic tundra are likely to increase through the next decade primarily because the temperature  
9 sensitivity of methanogenesis more than compensates for any drops in water table depth.

10

11 To assess the net global warming effect of future CO<sub>2</sub> and CH<sub>4</sub> exchange the global warming  
12 potential (IPCC, 2001) is used. On average process-based models (McGuire et al. 2000a,  
13 Callaghan 2004a) estimate a mean annual CO<sub>2</sub> uptake of 0.16 PgC/yr over the 21<sup>st</sup> Century for the  
14 Arctic. In terms of global warming potential this would balance CH<sub>4</sub> emissions of 9 and 26 Tg  
15 CH<sub>4</sub>/yr based on a 20 and 100 year time horizon, respectively. Current estimates of CH<sub>4</sub> emission  
16 from tundra ecosystems are 21 Tg CH<sub>4</sub>/yr (Zhuang et al. 2004a), and are projected to double by  
17 2100 (Grant et al. 2003, Zhuang et al. 2004, Gedney et al. 2004). This suggests the combined  
18 future CO<sub>2</sub> and CH<sub>4</sub> exchange from Arctic tundra is likely to contribute to climate warming.

19

20 Datasets which are particularly useful in ecological modeling studies include: Gridded long-term  
21 climate datasets covering the Pan-Arctic; data from long-term study sites representative of the  
22 different tundra ecosystems and manipulation experiments (e.g. N fertilization, Soil warming), and  
23 decadal timeseries of remotely sensed data. Good quality climate data are needed to run the  
24 models. Data from long-term representative study sites and manipulation experiments are needed

1 to calibrate models, and are important to gain process understanding and knowledge of Arctic  
2 ecosystem structure and functioning and the potential impacts of environmental change on these  
3 ecosystems. This understanding can be fed back into model process description and  
4 parametrization, and the decadal timeseries of high temporal and spatial fidelity remotely sensed  
5 data is important for model evaluation and further development.

6  
7 A major uncertainty in the projections of both the remote sensing and process-based models that  
8 have been used to assess the responses of CO<sub>2</sub> and CH<sub>4</sub> exchange in Arctic tundra is that the  
9 spatial and temporal dynamics of these models have yet to be comprehensively evaluated. Most of  
10 the data used to develop and evaluate these models is limited to tundra sites in Alaska. With  
11 respect to the current generation of process-based models, we have identified three types of  
12 uncertainties associated with: the climatic variables used to drive model simulations; accurate  
13 representation of critical processes in the models; critical processes and feedbacks that are  
14 currently unknown or not well represented by the models.

15  
16 The current high latitude meteorological station density is extremely sparse and largely limited to  
17 coastal areas. Spatially explicit climate datasets for the Arctic derived from atmospheric general  
18 circulation model (GCM) simulations have relatively coarse (0.5 to 3.75 degree) spatial resolutions  
19 and differ substantially depending upon the particular GCM employed in the simulation. Efforts to  
20 improve gridded datasets of historical climate conditions for the pan-Arctic would advance the  
21 ability for accurately assessing the spatial patterns of past and projected responses of regional  
22 carbon dynamics for pan-Arctic tundra in a more realistic fashion. This has enormous implications  
23 for realistic assessment of the Arctic response to future climate scenarios and the potential of the  
24 Arctic to enhance or mitigate global climate change.

1

2 Uncertainty in the depiction of the role of recalcitrant soil carbon in long-term ecosystem carbon  
3 dynamics results from our incomplete understanding of controls over carbon and nitrogen  
4 transformations in Arctic soils. Mechanistic studies of these issues are needed to improve our  
5 ability to model the response of Arctic ecosystems to global change (Clein et al. 2000). Also, the  
6 causal linkage between temperature and decomposition and nitrogen availability to plants needs to  
7 be better understood. In particular, the competition between plants and microbes for available  
8 nitrogen and nitrogen retention by tundra ecosystems needs further elucidation.

9

10 Future modeling and field studies should address a number of issues currently not well represented  
11 in models. Representation of plant functional types by the current generation of regional models is  
12 largely limited to one or two types, which are insufficient to account for the diversity in tundra  
13 ecosystems. A particular requirement is representation of mosses, in regulating tundra thermal and  
14 hydrologic dynamics that influence carbon storage. Since tundra ecosystems are nitrogen limited,  
15 nitrogen fixation is an important process affecting changes in carbon storage. As yet this process is  
16 not fully understood or adequately represented by regional models. Productivity of aquatic  
17 ecosystems tends to be limited more by phosphorous than nitrogen, but interaction between  
18 phosphorous and carbon dynamics are not included in the current generation of regional models.  
19 Topographic controls over soil moisture are generally not well represented in regional models,  
20 since models are applied at coarser spatial resolutions than the underlying topographic variability  
21 (McGuire et al. 2000a). Thus far only Stieglitz et al. (2000) has coupled a hydrology model of  
22 lateral flow to a carbon and nitrogen model to study the effects of climate and associated  
23 hydrologic change on carbon dynamics in the Innavaik Creek subcatchment (2.2 km<sup>2</sup>) of the  
24 Kuparuk river basin of Alaska. Heterogeneity in surface hydrology that affects carbon dynamics



1 occurs at resolutions on the order of meters. Scaling this variability to resolutions considered by  
2 regional models represents a major challenge.

3  
4 Drainage and permafrost condition largely control the spatial extent and distribution of tundra  
5 wetlands, and the production of CH<sub>4</sub> (Christensen et al. 2004). Permafrost, its dynamics, and  
6 disturbance are crucial in shaping the Arctic landscape and its heterogeneity, e.g. on the short  
7 time-scale, thermokarst lakes can be formed as frozen soil water melts causing local subsidence  
8 and flooding. However, depending on the groundwater level, permafrost degradation can also lead  
9 to long-term regional surface drying, with surface water now able to infiltrate lower soil horizons  
10 and access groundwater. Increased drainage associated with permafrost degradation is largely  
11 responsible for decreasing area of ponds on the Seward Peninsula of Alaska over the last 50 years  
12 (Stow et al. 2004, Hinzeman et al. 2004). Sufficient representation of permafrost and soil drainage  
13 will improve model predictions of the response of wetland carbon dynamics to current and future  
14 patterns of climate change. Permafrost and active-layer dynamics are now being developed and  
15 incorporated into regional models (Zhuang et al. 2001, 2003), but the coupling of these dynamics  
16 to hydrological processes is rudimentary and needs greater sophistication. An important issue is  
17 the fate of soil organic carbon that is exposed by the thawing of permafrost, an issue that is not  
18 considered in most models and is difficult to represent at the scale of the pan-Arctic. Also, it is  
19 clear that fire is currently an important disturbance in Siberian tundra and may increase in regional  
20 extent, frequency and severity in the Arctic under warmer climate conditions, but is currently not  
21 adequately represented in regional models. Increases in fire frequency have the potential to rapidly  
22 release large quantities of soil carbon.

1 The recent launch of multiple sensors onboard individual satellite platforms such as NASA's EOS  
2 Terra and Aqua satellites and efforts to improve integration and coordination of these data within  
3 public data archives will likely continue to improve satellite remote sensing capabilities for  
4 regional detection, monitoring and evaluation of pan-Arctic carbon cycle dynamics.

## 6 Conclusions

7 Remote sensing observations indicate a general greening trend and increased vegetation  
8 productivity in Arctic tundra ecosystems over recent decades. Modeling studies suggest a small net  
9 exchange of CO<sub>2</sub> between the tundra and atmosphere during the present-day and recent past with  
10 large interannual and spatial variability in the exchange of CO<sub>2</sub>. Process-based studies indicate that  
11 the Arctic will be a small sink of atmospheric CO<sub>2</sub> during the next 100 years. However, increasing  
12 emissions of methane in response to rising soil temperatures will likely lead to the Arctic being a  
13 future source of greenhouse gases. Key uncertainties in our current understanding of Arctic carbon  
14 cycle dynamics involve: 1) the role of fire; 2) the potential responses of NPP and R<sub>H</sub> to  
15 temperature and moisture interactions, deepening soil active layers and the role of soil nutrients  
16 (N, P) in mitigating or enhancing these responses; 3) the relative accuracy of regional climatic  
17 drivers used for process model simulations; 4) model representation of soil hydrologic processes  
18 and potential soil moisture response to climate change, 5) and changes in the areal extent and  
19 distribution of wetlands.

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7

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1 Table 1. Description of prognostic models applied over the Arctic.

		SPA	Ecosys	GEM	TEM	LPJ
Original Model/		Williams et al. 1996	Grant 2001	Rastetter et al. 1997	McGuire et al. 2000b	Sitch et al., 2004
Recent Arctic		Williams et al. 2000	Grant et al. 2003	Le Dizès et al. 2003	McGuire et al. 2000a	Callaghan et al.
Application		Van Wijk et al. 2003				2004a, b
<b>Scale</b>						
Temporal	Resolution	30 minutes	60 minutes	Annual	Month	Month
	Application	Diurnal to multi-seasonal	Diurnal to multi-seasonal (Century application)	Annual to Century	Seasonal to Century	Seasonal to Century
Spatial	Resolution	Point	Point	Point	0.5°	0.5°
	Application	Plot – Region	Plot – Region	Plot – Region	Region – Globe	Region – Globe
<b>Structure</b>						
Vegetation		Diagnostic	Diagnostic	Diagnostic	Diagnostic	Prognostic / dynamic vegetation
Litter/Soil Pools		No	4	4	1	4
Microbial Pools		No	Yes	No	No	No
<b>Processes</b>						
General	Photosynthesis	Farquhar and von Caemmerer, 1982	Farquhar et al. 1980	Farquhar and von Caemmerer, 1982. MBL-GEM III uses photosynthesis module from SPA.	GPP based on multiple limiting factors (McGuire et al. 1997)	Enzyme-based. Farquhar et al. 1980, Collatz et al. 1992.

	Heterotrophic Respiration (Rh)	-	Dependent on substrate-microbe complexes affected by soil moisture and temperature (Arrhenius function)	Dependent on soil carbon, soil temperature and moisture (Rastetter et al. 1997).	Dependent on soil organic carbon, soil moisture, air temperature (McGuire et al. 1997)	Dependent on tissue type, soil temperature (Lloyd and Taylor 1994) and soil moisture (Foley 1995)
	Fire	No	No	No	No	Yes Thonicke et al. 2001
	C:N dynamics	No (N parametrized)	Yes	Yes	Yes	No
	Energy flow	Yes, in Williams et al. 2001b, Hinzman et al. 1998	Yes	No	No	No
Especially Relevant to Arctic Ecosystems	Non-Vascular Plants (Mosses/Lichens)	No	Yes	No	No	No
	Permafrost	Yes, in Williams et al. 2001b, Hinzman et al. 1998	No	No	Inclusion in Study, Zhuang et al. 2001. Zhuang et al. 2003.	No
	Lateral Hydrology	Inclusion in Study, Stieglitz et al. 2000.	No	No	No	No
	Methane	No	Yes	No	Zhuang et al. 2004a.	No

1 Table 2. Average and range in future projections of carbon storage and productivity changes, using  
 2 the LPJ model (Sitch et al., 2003) run with climate scenarios from four different climate models  
 3 (CCC, GFDL, HadCM3, ECHAM4) for the Arctic (see Callaghan et al. 2004 a, b).

	Average	Range
<b>Temperature change (°C)</b>		
2100-2000	+5.0	+4.7 to +5.7
<b>Precipitation change (mm/yr)</b>		
2100-2000	+43.0	+9.0 to +78.0
<b>NPP (g C·m<sup>-2</sup>·yr<sup>-1</sup>)</b>		
1960s	248	+243 to +252
2080s	428	+401 to +456
% increase	72	+61 to +87
<b>Change in C storage (g C/m<sup>2</sup>) between</b>		
<b>1960 and 2080</b>		
Vegetation C	+503	+316 to +671
Soil C	+613	+141to +1372
Litter C	+494	+300 to +843
Total C	+1609	+1071 to +2750
<b>Percent arial vegetation change between</b>		
<b>1960 and 2080</b>		
Taiga v tundra†		
2080-1960	+11.3	+9.8 to +14.4
Polar desert v tundra†		
2080-1960	-17.6	-23.0 to -14.2

4 †LPJ simulated spatial coverages of several woody and herbaceous plant functional types across the domain of the  
 5 pan-Arctic. These coverages were aggregated into three biomes: Taiga, Tundra and Polar desert. This table presents  
 6 the change in areal extent of the three biomes, expressed in terms of a percentage of the total Arctic land area.

## 1 Figure Legends

2  
3 **Figure 1.** Map of the Arctic and its vegetation types.

4 **Figure 2.** Map of long-term (1988-2002) trends in the primary spring thaw day for the pan-Arctic  
5 basin and Alaska as derived from temporal change detection analysis of the daily SSM/I satellite  
6 record following the approach developed by McDonald et al. (2004). Masked areas are shown in  
7 gray and include permanent ice and snow, barren and sparsely vegetated areas. Areas experiencing  
8 pronounced advance toward earlier thaw are indicated in red, while blue and white regions tend  
9 toward later thaw.

10 **Figure 3.** Map of long-term (1982-2000) trends in annual gross primary production (GPP) for the  
11 pan-Arctic basin and Alaska as derived from the NOAA AVHRR Pathfinder record and a  
12 production efficiency model described by Nemani et al. (2003) and Running et al. (2004). Masked  
13 areas are shown in white and include permanent ice and snow and barren land, while large lakes  
14 and other water bodies are shown in blue. Overall trends for the region indicate increasing  
15 productivity (green areas), but with significant spatial and annual variability.

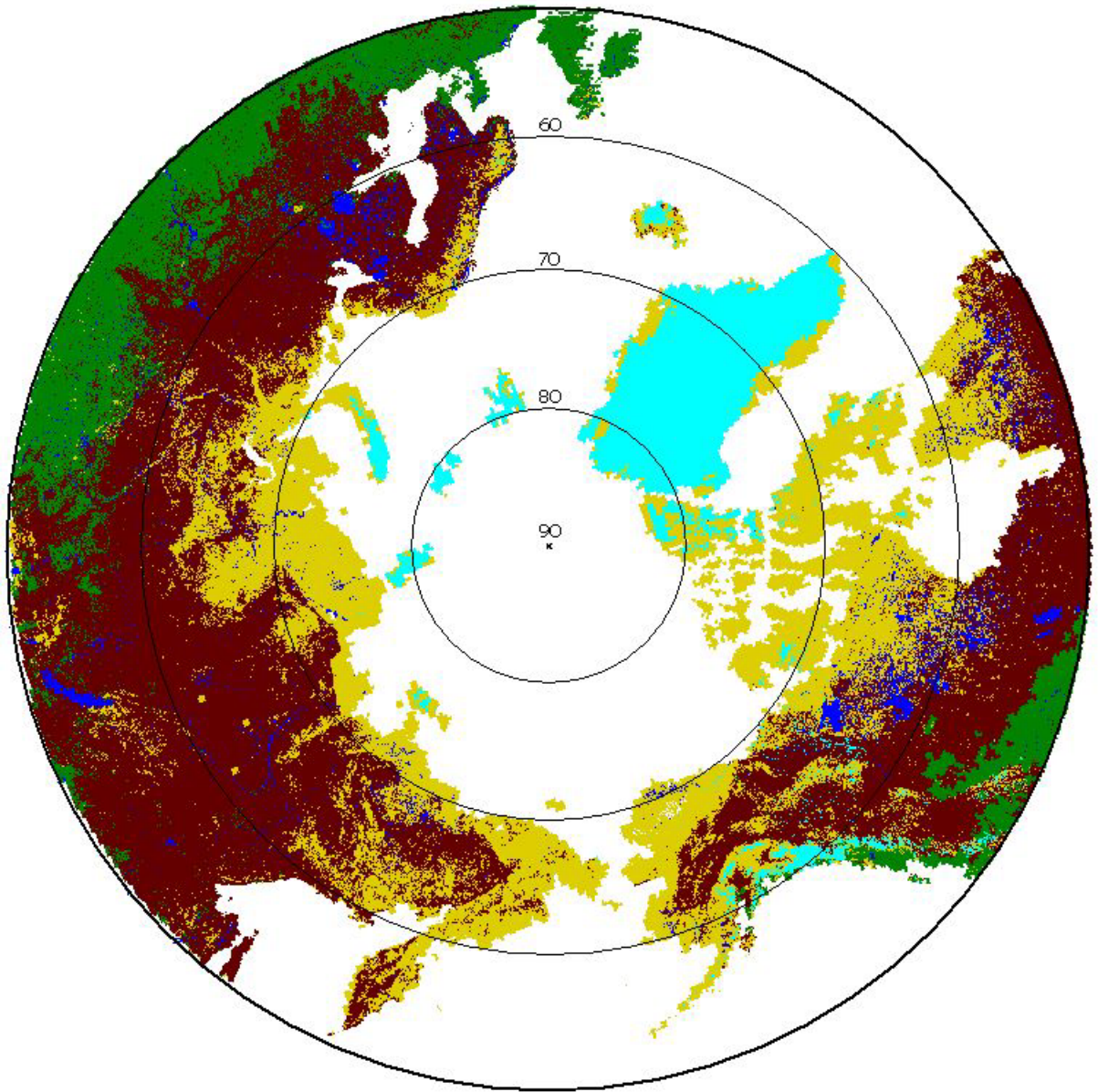
16 **Figure 4.** Historical and projected changes in (i) net primary production, (ii) heterotrophic  
17 respiration, and (iii) net ecosystem production for the pan-Arctic as simulated by the Terrestrial  
18 Ecosystem Model during the historical period (1921-1994) and projected period (1995-2100). The  
19 shaded region represents the standard deviation in carbon fluxes simulated by TEM across tundra  
20 of the Pan-Arctic.

21 **Figure 5.** 10 year running mean future Arctic NEP simulated by LPJ using climatologies from  
22 GFDL (— — —); HadCM3 (———); CCC (— ----); and ECHAM3 (-----) for the SRES B2  
23 emission scenario (see Callaghan et al. 2004a, b for circumpolar maps).

1 **Figure 6.** Simulated spatial and temporal variability in mean annual NEP in moist tundra  
2 ecosystems north of 50° N for the decades from 1985 to 1994 and from 2085 to 2094 as simulated  
3 by the Terrestrial Ecosystem Model (TEM) with the reference parameterization and by TEM for  
4 fast soil C dynamics (i.e., with much faster soil carbon turnover in comparison with the reference  
5 parameterization). (Figure 10 of Clein et al. 2000)

6 **Figure 7.** Simulated net CH<sub>4</sub> emissions and consumption in tundra ecosystems in the Pan-Arctic  
7 region (45°N above) during the 1990s. Positive values indicate the net CH<sub>4</sub> release to the  
8 atmosphere, and negative values indicate the CH<sub>4</sub> uptake from the atmosphere.

9

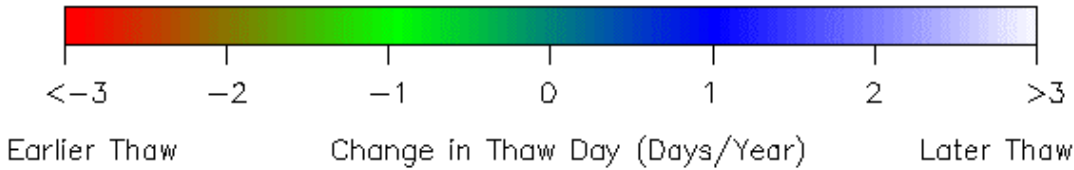
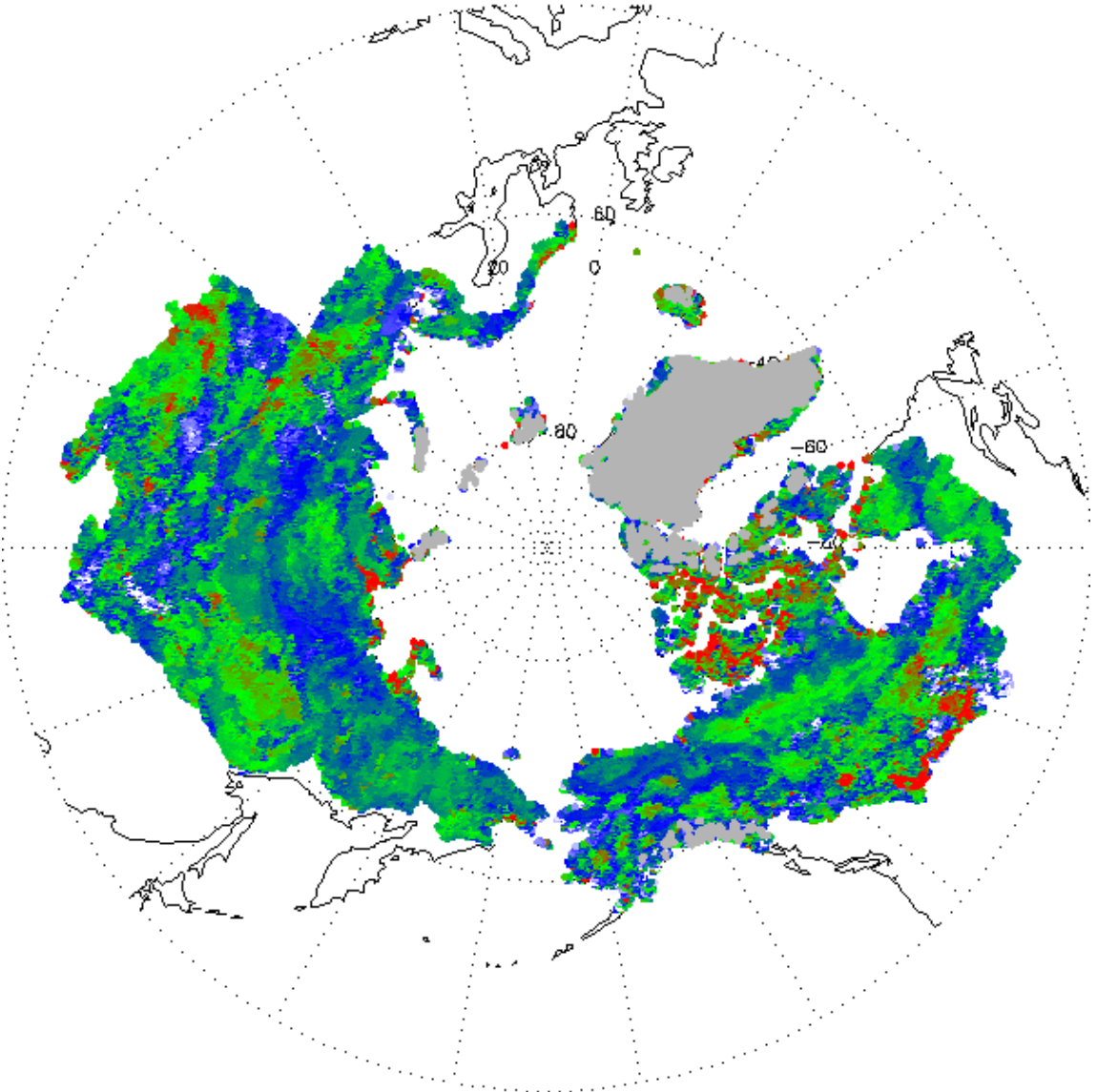


### Vegetation Types

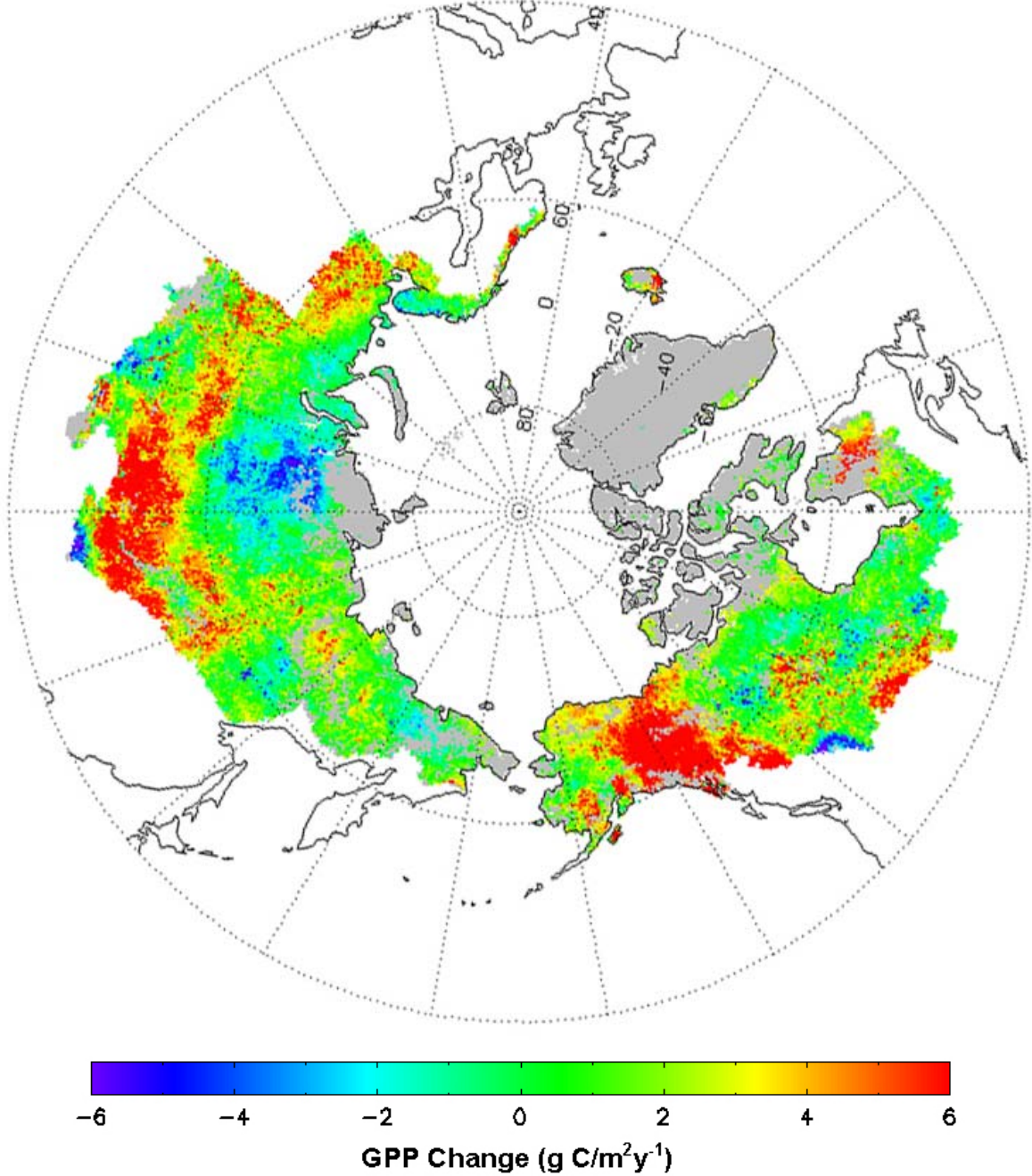
-  Ice
-  Tundra
-  Boreal Forest
-  Extra-Boreal
-  Lakes

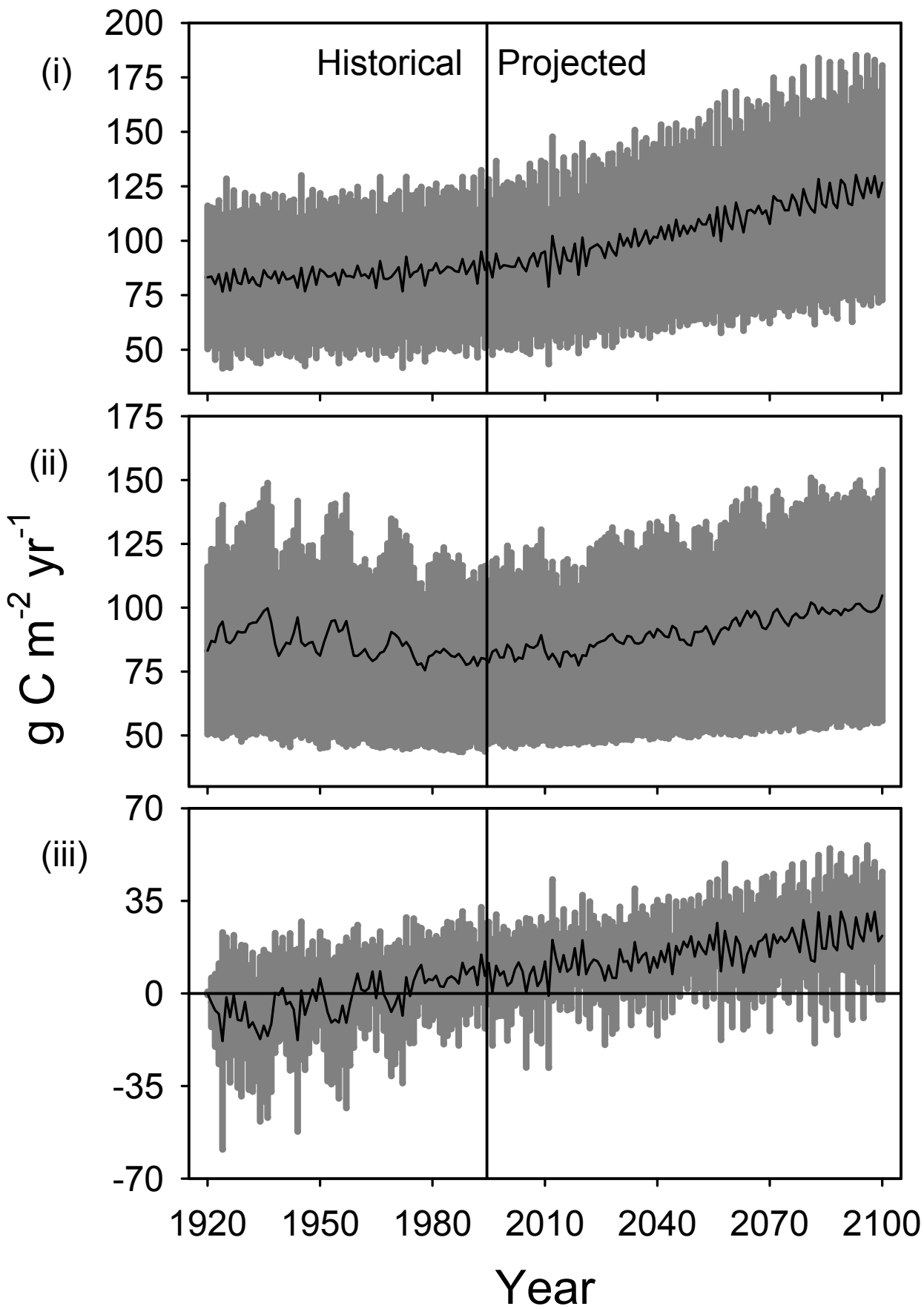


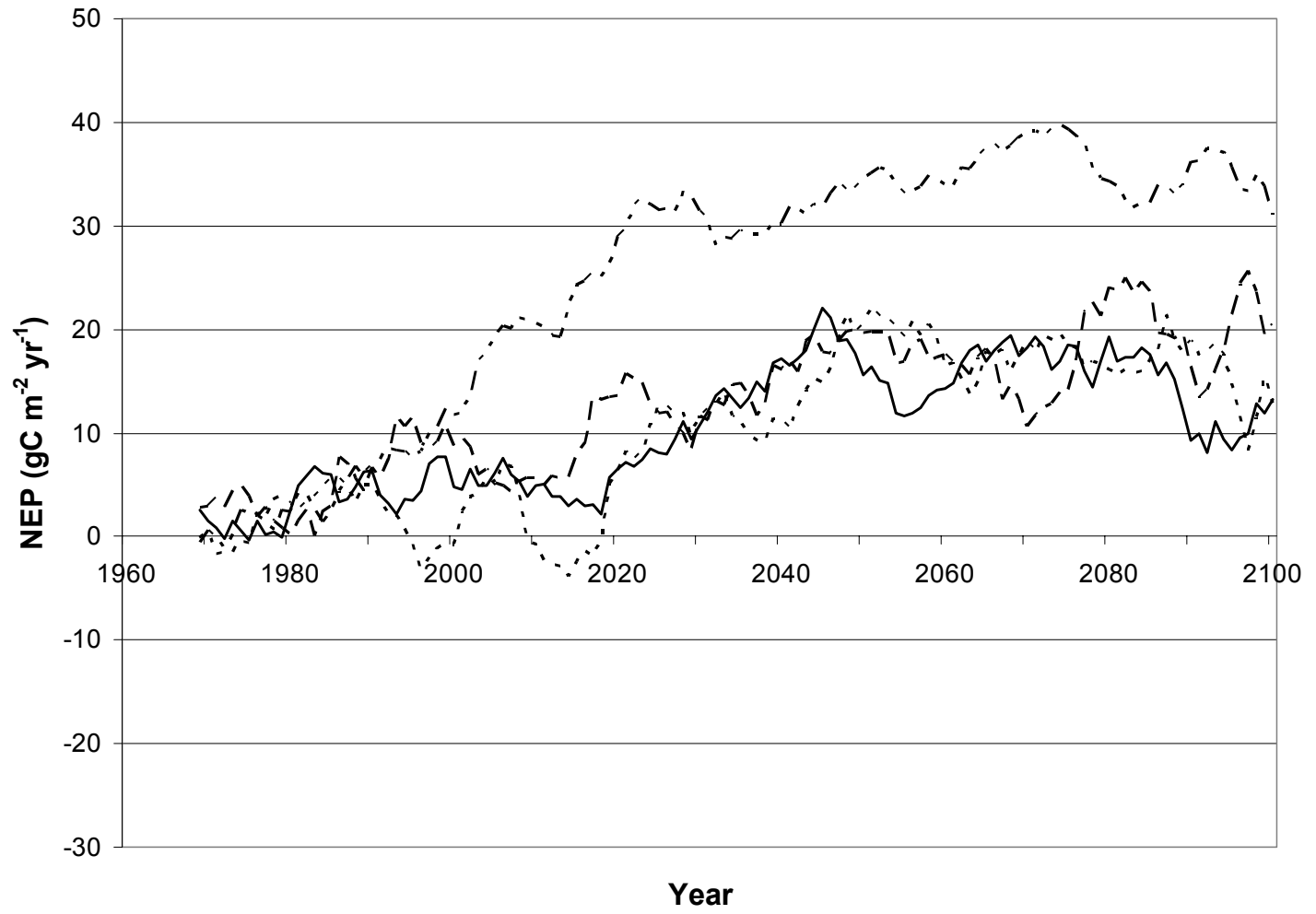
# Change in Primary Spring Thaw Date



# Change in Gross Primary Production



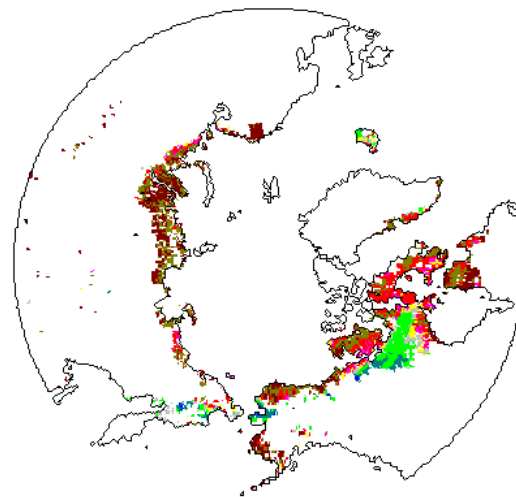
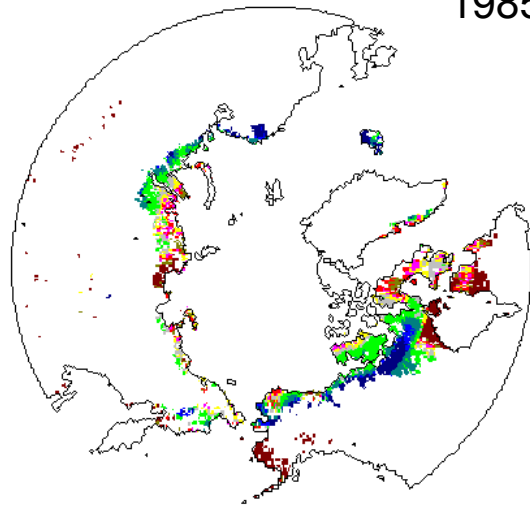




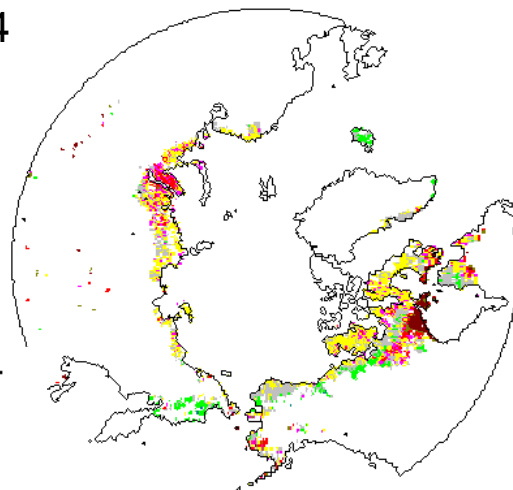
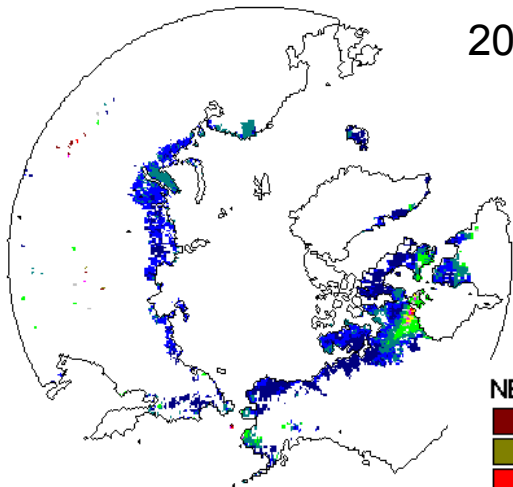
TEM, reference

TEM, fast soil C

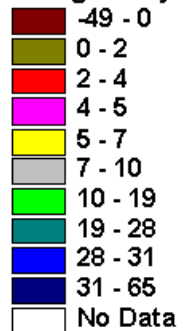
1985-1994

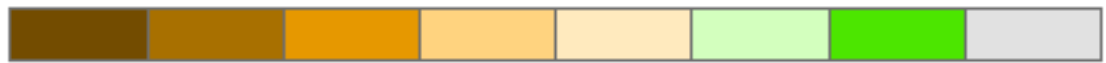
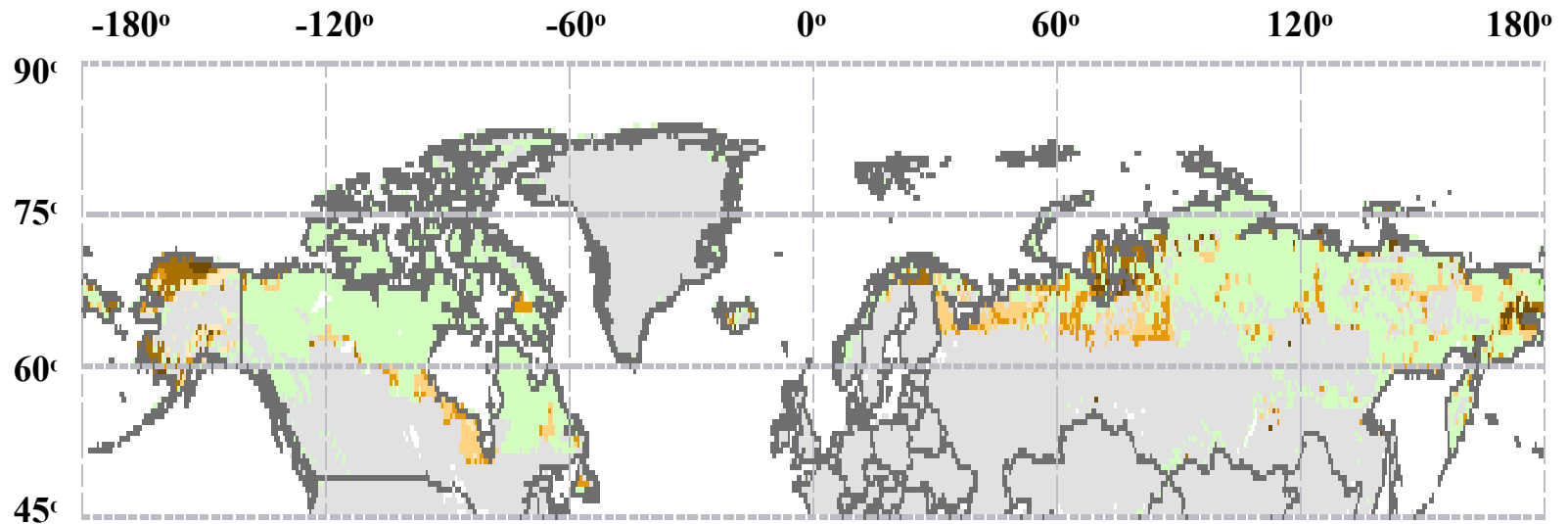


2085-2094



NEP gC/m<sup>2</sup>/yr





40 20 10 5 0 -1 not tundra

$(\text{g CH}_4 \text{ m}^{-2} \text{ yr}^{-1})$